•	Application Number		10758280	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2004-01-16	
	First Named Inventor Alain		ain Tornier	
	Art Unit		3733	
	Examiner Name	Mary	Mary C. Hoffman	
	Attorney Docket Numi	per	79740-345359	

				U.S.	PATENTS		Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Releva		Lines where ges or Relevant
	1					·		
If you wisl	h to a	Ldd additional U.S. Paten	ıt citatio	n information p	lease click the Add button.		Add	
			U.S.P	ATENT APPLI	CATION PUBLICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Releva		Lines where ges or Relevant
-2	2-	20050278030		2005-12-15	Tornier et al.			
	2	20050278031		2005-12-15	Tornier et al.			
	3	20050278032		2005-12-15	Tornier et al.			•
\bigvee	4	20050278033		2005-12-15	Tornier et al.			
mel	5	20050288791		2005-12-29	Tornier et al.			

(Not for submission under 37 CFR 1.99)

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor Alain		omier		
Art Unit		3733		
Examiner Name	Mary C. Hoffman			
Attorney Docket Number		79740-345359		

nd	6	20060015185		2006-01-19		Chambat et al.					
	7	20060173457		2006-08-03		Tornier					
Jul	8	20060235538		2006-10-19		Rochetin et al.					
If you wis	h to a	dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Ad	d buttor	n. Add		
<u> </u>				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	/	Kind Code ⁴	Publication	Name of Patente Applicant of cited Document	e or	Pages,Colu where Rele Passages of Figures App	vant or Relevant	T5
	1										
If you wis	h to a	dd additional Foreign P	atent Do	cument	citation	information pl	ease click the Add	button	Add		1
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item							Т5				
	1										
If you wis	h to a	l dd additional non-pater	ıt literatu	re docur	ment cit	ation informati	ion please click the	Add b	utton Add	<u> </u>	
-						R SIGNATUR			L		
Examiner	Signa	iture_					Date Conside	ered		· .	
*EXAMIN	Examiner Signature Date Considered *EXAMINER: Initial Freshold to sidered, whether or not citation is in conformance with MFEP 609. Draw like through a citation if not in on process and not considered. Include copy of the top of the conformation, to add is and.										

Hoffman

ou=3733

Date: 2007.04.29 13:04:11

-04'00'

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor	Alain	Tornier		
Art Unit		3733		
Examiner Name	Mary	C. Hoffman		
Attorney Docket Number		79740-345359		

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

(Not for submission under 37 CFR 1.99)

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor Alain		Tornier Tornier		
Art Unit		3733		
Examiner Name	Mary	C. Hoffman		
Attorney Docket Number		79740-345359		

•		CERTIFICATION	N STATEMENT						
Plea	ase see 37 CFR	1.97 and 1.98 to make the appropriate select	ion(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OF	R								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached c	ertification statement.							
X	Fee set forth in	37 CFR 1.17 (p) has been submitted herewit	th.						
	None								
	signature of the a	SIGNA pplicant or representative is required in accordance.		18. Please see CFR 1.4(d) for the					
Sigi	nature	/Karl G. Schwappach/	Date (YYYY-MM-DD)	2007-02-21					
Nar	ne/Print	Karl G. Schwappach	Registration Number	35,786					
		,							
		ormation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an applicati	•	•					

1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

79740-345359

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number 10758280 Filing Date 2004-01-16 First Named Inventor Alain Tomier Art Unit 3733 Examiner Name Mary C. Hoffman

Attorney Docket Number

-				U.S.	PATENTS	Remove
Examir Initial*	ner C N	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
rack	1	5171289		1992-12-15	Tornier	
	2	5314485		1994-05-24	Judet .	
	3	5326359		1994-07-05	Oudard	
	4	5358526		1994-10-25	Tornier	
	5	5405399		1995-04-11	Tornier	
	6	5429639		1995-07-04	Judet	
V	7	5458650		1995-10-17	Carrett et al.	
me	8	5505731		1996-04-09	Tornier .	

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor	Alain	Tornier		
Art Unit		3733		
Examiner Name	Магу	C. Hoffman		
Attorney Docket Number		79740-345359		

ml	9	5591168	1997-01-07	Judet et al.	
	10	5662651	1997-09-02	Tornier et al.	
	11	5676702	1997-10-14	Ratron	
	12	5702447	1997-12-30	Walch et al.	
	13	5702457	1997-12-30	Walch et al.	
	14	5702478	1997-12-30	Tornier	
	15	5766256	1998-06-16	Oudard et al.	
	16	5824106	1998-10-20	Fournol	
	17	5879395	1999-03-09	Tornier et al.	
	18	6162254	2000-12-19	Timoteo	
me	19	6165224	2000-12-26	Tornier	

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor	Alain	Tornier		
Art Unit		3733		
Examiner Name	Mary	C. Hoffman		
Attorney Docket Number		79740-345359		

		T	 · · · · · · · · · · · · · · · · · · ·	1	
me	20	6168629	2001-01-02	Timoteo	
	21	6171341	2001-01-09	Boileau et al.	
	22	6183519	2001-02-06	Bonnin et al.	
	23	6206925	2001-03-27	Tornier	
	24	6299646	 2001-10-09	Chambat et al.	
	25	6328758	2001-12-11	Tornier et al.	
	26	6334874	2002-01-01	Tornier et al.	
	27	6379387	2002-04-30	Tornier	
	28	6454809	2002-09-24	Tornier	
	29	6488712	2002-12-03	Tornier et al.	
mk	30	6540770	2003-04-01	Tornier et al.	

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor Alain		Tornier		
Art Unit		3733		
Examiner Name Mary		C. Hoffman		
Attorney Docket Number		79740-345359		

ml	31	6582469	2003-06-24	Tornier	
	32	6599295	2003-07-29	Tornier et al.	
	33	6626946	2003-09-30	Walch et al.	
	34	6761740	2004-07-13	Tornier .	
	35	6767368	2004-07-27	Tornier	
	36	6802864	2004-10-12	Tornier	
	37	6824567	2004-11-30	Tornier et al.	
	38	6890357	2005-05-10	Tornier	
	39	6969406	2005-11-29	Tornier	
nek	40	7033396	2006-04-25	Tornier .	
lf you wis	h to a	dd additional U.S. Pat		please click the Add button.	Add Remove

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor	Alain	Tornier		
Art Unit		3733		
Examiner Name	Магу	C. Hoffman		
Attorney Docket Number		79740-345359		

Examiner Initial*	Cite No Publication Number		Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
مر - م	1	20030009170		2003-01-09	Tornier	
	2	20030009171		2003-01-09	Tornier	
	3	20030028198		2003-02-06	Tornier et al.	
	4	20040134821		2004-07-15	Tornier	
	5	20040210220		2004-10-21	Tornier	
	6	20040230197		2004-11-18	Tornier et al.	·
	7	20050049709		2005-03-03	Tornier	
	8	20050055102		2005-03-10	Tornier et al.	
	9	20050165490		2005-07-28	Tornier	
wh	10	20050203536		2005-09-15	Laffargue et al.	

(Not for submission under 37 CFR 1.99)

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor	Alain	Tornier		
Art Unit		3733		
Examiner Name	Mary	C. Hoffman		
Attorney Docket Number		79740-345359		

If you wish to add additional U.S. Published Application citation information please click the Add button Add									
	FOREIGN PATENT DOCUMENTS Remove								
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² į	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5	
	1					·	,		
If you wisl	h to ac	ld additional Foreign P	atent Document	citation	information pl	ease click the Add butto	n Add		
			NON-PATE	NT LITE	RATURE DO	CUMENTS	Remove		
Examiner Initials*	Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						T5		
mf	1	ROCHETIN, U.S. patent application serial no. 11/194,452, entitled "Patellar Retractor and Method of Surgical Procedure on Knee," filed August 2, 2005							
	2	ROCHETIN et al., U.S. patent application serial no. 11/401,415, entitled "Surgical Apparatus for Implantation of a Partial or Total," filed April 11, 2006							
	3	ROCHETIN, U.S. patent application serial no. 11/670,274, entitled "Offset Stem Tibial Implantation," filed February 1, 2007							
ml	RATRON et al., U.S. patent application serial no. 11/626,735, entitled "Surgical Instrumentation Kit for Inserting an Ankle Prosthesis," filed 01-24-2007								
If you wish to add additional non-patent literature document citation information please click the Add button Add									
-	EXAMINER SIGNATURE								
Examiner	Signa	iture			Digi	tallysisignedab	y		
*EXAMINER: Initial if reference considered, whether or not citation is in the state of the sidered. Include copy of his form with next communication to applicant. DN: Cn=Wary Hoffman,									

Hoffman c=US, ou=3733
Date: 2007.04.29

12:59:52 -04'00'

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor	Alain	Tornier		
Art Unit		3733		
Examiner Name	Магу	C. Hoffman		
Attorney Docket Number		79740-345359		

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

(Not for submission under 37 CFR 1.99)

Application Number		10758280		
Filing Date		2004-01-16		
First Named Inventor Alain		Tornier		
Art Unit		3733		
Examiner Name	Mary	C. Hoffman		
Attorney Docket Number	er	79740-345359		

Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OF							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached ce	rtification statement.					
X	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	1.				
	None			•			
	ignature of the ap	SIGNA pplicant or representative is required in accord		8. Please see CFR 1,4(d) for the			
Sigi	nature	/Karl G. Schwappach/	Date (YYYY-MM-DD)	2007-02-21			
Nar	ne/Pri n t	Karl G. Schwappach	Registration Number	35,786			
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclu	on. Confidentiality is gover	ned by 35 U.S.C. 122 and 37 CFR			

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,**

CERTIFICATION STATEMENT

VA 22313-1450.